March 26, 2012

REQUEST FOR PROPOSALS

TO TRANSPORT AND RECYCLE ELECTRONIC WASTE FOR THE SONOMA COUNTY WASTE MANAGEMENT AGENCY

Proposals due 3:00 p.m. on April 27, 2012

Submit proposal to:
Lisa Steinman, Waste Management Specialist
Sonoma County Waste Management Agency
2300 County Center Drive, Suite B100
Santa Rosa, CA 95403
1. INTENT AND BACKGROUND

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1. INTENT AND BACKGROUND

1.1 Definitions

This section contains definitions that are used throughout this RFP.

AGENCY: The Sonoma County Waste Management Agency, a joint powers authority composed of the County of Sonoma and the nine incorporated jurisdictions within Sonoma County: Cloverdale, Cotati, Healdsburg, Petaluma, Rohnert Park, Santa Rosa, Sebastopol, Sonoma, and Windsor.

CANCELLATION Means a processing or treatment method that qualifies CEWs for recycling payments, removes the CEWs from the payment system eliminating the possibility of double payments, dismantles or destroys the original CEW, and results in treatment residuals as specified in Section 18660.32 of Title 14, Natural Resources--Division 7, CIWMB Chapter 8.2. Electronic Waste Recovery and Recycling

CRT Cathode Ray Tube (Televisions and/or Computer Monitors)

CONTRACTOR E-Waste Collector and/or Recycler

COUNTY: The County of Sonoma

CEWs Covered Electronic Wastes (Pursuant to SB20/SB50/as defined by Public Resources Code Section 42463)

E-STEWARDS RECYCLER Means an electronics recycler that has been certified by accredited, independent e-Stewards certification bodies to adhere to the Basel Action Network’s e-Stewards Standard for Responsible Recycling and Reuse of Electronic Equipment.

EVENTS Electronic Waste Collection Events

E-WASTE Electronic Waste (Includes CEWs and UWEDs)

RFP Request for Proposals

UWEDs Universal Waste Electronic Devices (as defined by California Code of Regulations, Title 22, section 66273.3)

1.2 Overview of Requested Services

The Sonoma County Waste Management Agency (Agency) is seeking proposals from Approved Recyclers for the transport and recycling of Covered Electronic Wastes (CEWs) and Universal Waste Electronic Devices (UWEDs), collectively referred to as electronic waste (E-waste). The Agency seeks a company to transport and recycle E-waste in compliance with all appropriate laws and regulations and in a manner that is beneficial to the communities and environment where the materials are dismantled and recycled. The contract for services being sought under this RFP will be between the successful Proposer and the Agency.
The Agency accepts E-waste for recycling at five solid waste disposal facilities and transfers it to the Central Disposal Site, 500 Mecham Road, Petaluma, California. Contractor must be an Approved Recycler with the State of California Department of Resources Recycling and Recovery (CalRecycle) and maintain this designation throughout the term of the contract. The successful Proposer shall collect E-waste from the Central Disposal Site, transport the E-waste to a designated recycling facility, cancel each CEW unit to qualify for recycling payments under the Electronics Recycling Act of 2003, and recycle the component parts and the UWEDs. The Agency has particular concerns that the E-waste is recycled in a beneficial manner that is not harmful to human health or safety and the environment and is in compliance with all applicable laws, including international laws. The term of the contract will be for approximately five (5) years, until February 11, 2017.

A Pre-Proposal tour for Potential Proposers interested in touring the E-waste collection site will be held on **Tuesday April 3, 2012, from 10:00 a.m. to 11:30 a.m.**, at the following address:

Central Disposal Site, Administration Office, 500 Mecham Road, Petaluma, California, 94952

Completion of Exhibit A by the PROPOSER will define the key parameters and Scope of Services requested by the AGENCY for this RFP.

1.3 Responsibility of AGENCY

The AGENCY will provide the following:

A. Provide signed Proof of Designation form.
B. The Agency shall provide all necessary collection log cover letters, collection logs, and CEW transfer receipts.

2. GENERAL INFORMATION

The general guidelines for preparing a response to this RFP are explained in this section.

2.1 Questions

All questions pertaining to this RFP must be directed to:

Lisa Steinman, Waste Management Specialist
Sonoma County Waste Management Agency
2300 County Center Drive, Suite B100
Santa Rosa, CA 95403
fax: 707/565-3701  e-mail: Lisa.Steinman@sonoma-county.org

Questions must be submitted no later than 3:00 p.m. on **April 9, 2012**; no response will be made to questions submitted after this date. An addendum to this RFP will be prepared in response to any questions received. The PROPOSER is solely responsible for providing their email address and fax number by **April 9, 2012** to the contact above so that the addendum can be circulated as soon as available. The AGENCY cannot assure that every entity receiving a RFP will receive the addenda. All addenda shall become part of the Agreement documents, and all PROPOSERS are bound by such addenda, whether or not received by the PROPOSER.

2.2 AGENCY Contact for Information

All requests for additional information regarding this RFP should be directed to the AGENCY’s Waste Management Specialist, noted above. Do not directly contact other AGENCY staff or members of the Board of Directors. Individuals or organizations that do so may be disqualified from further consideration. AGENCY
will recognize only those responses to inquiries issued in writing by AGENCY in Addendum form as binding modifications to this RFP.

2.3 Appeals Process

Should any PROPOSER dispute the AGENCY’s determinations and findings during the RFP process, such PROPOSER shall give the AGENCY written notice of the matter in dispute within five (5) days of PROPOSER’s first knowledge of the decision or determination. The PROPOSER shall thereafter, within ten (10) days of PROPOSER’s first knowledge of the AGENCY decision or determination in dispute, provide AGENCY with a complete and comprehensive “Statement of Dispute” that discusses all the reasons why the PROPOSER disputes the AGENCY’s determination or decision and submit all documentary evidence relied on by the PROPOSER. The Statement of Dispute must meet the following conditions and requirements:

a. The Statement of Dispute must contain a complete statement of the factual and legal basis for the protest.

b. The Statement of Dispute must specifically refer to the specific portions of the RFP, which form the basis for the protest, and all documentary evidence relied upon.

c. The Statement of Dispute must include the name, address and telephone number of the person representing the protesting party.

d. The party filing the Statement of Dispute must concurrently transmit a copy of the initial protest document and any attached documentation to all other parties with a direct financial interest, which may be adversely affected by the outcome of the protest. Such parties shall include all other PROPOSERS, who shall have seven (7) calendar days to respond to the Statement of Dispute.

The AGENCY will review the Statement of Dispute, and may elect to hold an administrative hearing thereon, and may request PROPOSER to produce such further evidence as AGENCY deems material to a decision on the issue, after which time AGENCY will issue a determination which shall be final. The procedure and time limits set forth in this paragraph are mandatory and are the PROPOSER’s sole and exclusive remedy in the event of protest and failure to comply with these procedures shall constitute a waiver of any right to further pursue the protest, including filing a Government Code Claim or legal proceedings. Failure to strictly follow this procedure shall waive any further rights to dispute the AGENCY’s decisions and determinations made during the RFP process.

2.4 Confidentiality

AGENCY has made a determination in accordance with Section 6255 of the Government Code that all Proposals submitted in response to this RFP shall not be made public by AGENCY until the time AGENCY is considering award of a contract for the services. In addition, AGENCY has made a determination in accordance with Section 6255 of the Government Code that all PROPOSER proprietary financial information which is specifically identified by the PROPOSER as “confidential” shall not be made public by AGENCY and shall be returned to each PROPOSER, unless otherwise required by law. In the event a PROPOSER wishes to claim other portions of its proposal exempt from disclosure under the Public Records Act, it is incumbent upon PROPOSER to clearly identify those portions with the word “confidential” printed on the lower right-hand corner of the page, along with a written justification as to why such information should be exempt from disclosure. Blanket designations of “confidential” shall not be effective. However, AGENCY will make a decision based upon applicable laws. AGENCY shall notify PROPOSER of any requests for disclosure under the Public Records Act. If the PROPOSER wishes to prevent the disclosure of such material, the PROPOSER shall bear the sole burden of seeking review in a court of competent jurisdiction. In addition, PROPOSER shall defend and indemnify AGENCY from any claims and/or litigation relating to a claim of confidentiality.

Proprietary or confidential data must be readily separable from the proposal in order to facilitate eventual
public inspection of the non-confidential portion of the proposal. The cost of services shall not be designated as proprietary or confidential information.

3. INSTRUCTIONS TO PROPOSERS AND PROCEDURES FOR SUBMITTAL

3.1 Submittal Rules

Proposals must be presented in accordance with the information requested in Section 4, Proposal Requirements. Other relevant information that the PROPOSER feels is appropriate may be included. The following rules shall apply:

1. All proposals shall be submitted in writing and be in accordance with the requirements of this Request for Proposals. No facsimile or emailed proposals will be accepted.

2. Three (3) copies of the proposal shall be submitted to the AGENCY no later than 3:00 p.m. on the time clock located at 2300 County Center Drive, Suite B100, Santa Rosa, California, on April 27, 2012. The package of proposals must be clearly labeled on the outside with the name and the address of the firm submitting the proposal with the words: "E-Waste Collection Events" in the address. One of the copies of the proposal shall be unbound to allow for ease of copying. Proposals must be addressed and delivered to the AGENCY contact found in Section 2.1 above.

3. PROPOSERS shall label one of the copies "Original", which shall govern in the event of any inconsistency among copies of the proposal. This original copy shall be bound.

4. Each proposal shall be printed on 8 1/2" by 11" paper, double-sided where appropriate, or if larger paper is required, it must be folded to 8 1/2" by 11". Paper should be at least 30% post-consumer recycled content.

5. Each proposal shall include all information required by this RFP and any subsequent addenda.

6. Proposals received after the required submittal date will be rejected and will be returned unopened. The AGENCY will not, in any manner, be liable or responsible for any late delivery of proposals.

3.2 Evaluation Process

The AGENCY shall evaluate each proposal. All determinations with regard to the evaluation of proposals will be at the sole discretion of the AGENCY. Each proposal shall first be evaluated for completeness and for compliance with the requirements of this RFP. The AGENCY will then evaluate the benefit of the proposed services described in the proposal to the AGENCY and its citizens.

The objective of the Agency is to determine the best option for E-waste transportation and recycling services. To this end, the Agency shall consider all relevant factors, including, but not limited to:

- Direct costs/revenue
- Proposer’s qualifications and safety record
- References from existing or prior clients
- Any indirect costs, benefits, or risks arising out of the Proposal that directly or indirectly impact the Agency’s constituents.

The Agency will evaluate the cost/revenue for services requested in the Proposal, including all components of the service fee and other relevant factors including, but not limited to, all costs, benefits and risks to the Agency and its constituents that may be associated with the proposed services. The Agency may request "Best and Final" offers based on an improved understanding of the offers or changes in the scope of services to be provided. The Agency reserves the right to reject any or all Proposals and to award an Agreement or Agreements to the Proposer(s), which, in the Agency’s...
judgment, will best serve the needs of the citizens of Sonoma County.

3.3 Rights of the AGENCY

PROPOSERS shall submit an appropriately signed Exhibit B – Proposal Authorization and Acknowledgement Form stating that the PROPOSER agrees with the rights of the Agency as described below. The AGENCY shall have the right to:

1. Award an agreement for services described in this RFP.
2. Reject all proposals and not award an agreement.
3. Reject any proposal.
4. Select a proposal other than the lowest cost/highest payment proposal.
5. If during the course of negotiations with a selected PROPOSER, the AGENCY determines in its sole discretion that an acceptable Agreement cannot be negotiated, the AGENCY reserves the right to suspend negotiations with that PROPOSER and begin negotiations with another PROPOSER. Also, the AGENCY reserves the right to undertake simultaneous negotiations of the final Agreement with more than one PROPOSER.
6. Waive defects and/or irregularities in any proposal.
7. Request from any PROPOSER at any time during the evaluation process, clarification of any information contained in the proposal.
8. Request “Best and Final” offers.
9. Conduct interview(s) with any PROPOSER(s).
10. Negotiate terms and conditions that are different from those described in this RFP and Agreement.
11. Contact references provided and seek information from any client with which the PROPOSER has done business.
12. Take other such action that best suits the needs of the AGENCY and/or its citizens.

PROPOSERS are notified that the costs of preparing and submitting proposals and the risks associated therewith shall be borne solely by the PROPOSER. No compensation will be provided to PROPOSERS for work performed or costs incurred during the preparation, submittal or evaluation of Proposals neither for the negotiation or execution and delivery of an Agreement awarded as a result of this RFP.

4. PROPOSAL REQUIREMENTS

A proposal shall be complete and concise and should be prepared in substantial conformance with the format and order described below to assist in the review process. A Proposal that omits or inadequately addresses any of the topics below may be rejected.

4.1 Letter of Submission

The proposal must contain a submission letter that contains the PROPOSER's unconditional acceptance of the performance obligations set forth in the RFP. An officer of the proposing entity authorized to bind the PROPOSER to the proposal terms must sign this letter.
The Letter of Submission shall also include a description of the ownership of the proposing company, including, but not limited to:

- Official name and address. Indicate the type of entity and list its officers (e.g. corporation, partnership, sole proprietorship). Indicate the date and place of incorporation or organization.
- If entity is a joint venture, submit a current copy of the joint venture agreement or contract.
- Federal Employer I.D. Number
- Complete name, mailing address, phone number, fax number and email address (if available) of the person to receive notices and who is authorized to make decisions or represent the company with respect to this RFP.

4.2 Proposed Scope of Work

The PROPOSER must include a scope of work which, at minimum, addresses the items listed in Exhibit A – Proposed Scope of Services.

4.3 Insurance

The PROPOSER should demonstrate the ability to submit proof of the required insurance as set forth in the Agreement. Prior to award of the Agreement, the successful PROPOSER shall furnish the AGENCY with Certificates of Insurance clearly evidencing all required insurance and endorsements. The successful PROPOSER shall procure and maintain for the duration of the Agreement, insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the successful PROPOSER, its agents, representatives, employees or subcontractors.

Pollution Liability Insurance is required. See the sample contract (Exhibit C: Form of Agreement) for details. Contractor shall provide a sample certificate demonstrating coverage.

4.4 Qualifications and Experience of Firm

The proposal should include the PROPOSER’s experience relevant to the requested services and qualifications and resumes of key personnel that will be assigned to the management of the Agreement.

PROPOSER should provide a minimum of two (2) California governmental clients that the AGENCY may contact to conduct a reference check regarding provision of the proposed service.

If the PROPOSER cannot provide all of the information requested under Section 4.4 above, then the PROPOSER must provide descriptions of similar work performed for other clients. In either case, the description shall list the:

- dates and a description of the services that were provided;
- names and responsibilities of the team members involved with the referenced work; and
- name, address, and telephone number of a contact person of each client who would be most familiar with the services provided.

4.5 Agreement for E-Waste Transport and Recycling Services

The selected PROPOSER must execute the Agreement and submit Exhibit B, acknowledging their willingness to sign the Agreement for Recycling Services attached hereto as Exhibit C to this RFP, unless
modified pursuant to the procedures set forth herein. PROPOSER shall identify in its proposal any proposed modifications to the Agreement for Recycling Services.

5. SCHEDULE

<table>
<thead>
<tr>
<th>Date</th>
<th>Action</th>
<th>Responsible Party</th>
</tr>
</thead>
<tbody>
<tr>
<td>March 26, 2012</td>
<td>Distribution of RFP</td>
<td>AGENCY</td>
</tr>
<tr>
<td>April 3, 2012</td>
<td>Pre-Proposal Tour (10:00)</td>
<td>AGENCY</td>
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<tr>
<td>April 9, 2012</td>
<td>Submit Addenda Distribution Information</td>
<td>PROPOSER</td>
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<tr>
<td>April 9, 2012</td>
<td>Submit Written Questions</td>
<td>PROPOSER</td>
</tr>
<tr>
<td>April 27, 2012</td>
<td>Proposals Due (3:00 p.m.)</td>
<td>PROPOSER</td>
</tr>
<tr>
<td>May 16, 2012</td>
<td>Award of Agreement (tentative)</td>
<td>AGENCY</td>
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</tbody>
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6. ATTACHMENTS

- Exhibit A: Proposed Scope of Services
- Exhibit B: Proposal Authorization and Acknowledgement Form
- Exhibit C: Form of Agreement
- Exhibit D: Volumes of Material Historically Collected
E-Waste Transportation and Recycling Services RFP

Exhibit A

Scope of Work

This section describes the services sought by the Sonoma County Waste Management Agency (Agency). The Agency will execute an Agreement (see Attachment C) for E-waste transportation and recycling with the selected Proposer as described in this RFP. The Agreement may incorporate any or all elements of the successful Proposer’s proposal, either as originally submitted or as defined in subsequent negotiations. The Agreement is subject to the final approval of the Agency Board of Directors. Also set forth in this section are the major terms of the business arrangement that the Agency seeks with the successful Proposer, as further defined by the cost items/payment terms contained in the successful Proposer’s proposal.

To enable the Agency to evaluate potential Electronic Waste Transportation and Recycling Contractors, please address each of the items below. Agency staff will evaluate the Proposals based on completeness of answers to the items below. The weighted percentages are listed next to each criteria category below totaling 100%.

Primary Selection Criteria

1. Ability to perform services as specified within the RFP (25%)- Please describe the Proposer’s qualifications and experience as an E-waste Recycler. Include the Proposer’s CEWID#.

2. Description of services offered (20%)- Please list, in detail, the collection services proposed to be provided in connection with this RFP. Please include a detailed list of materials accepted.

3. Cost (25%)- How much would be paid (charged) to the SCWMA for recycling the materials described above? The payment (charge) should include all costs (recycling, labor, equipment, transportation, etc.).

4. Handling of materials (20%)- Describe, in detail, the final destination/market for collected items. In addition, please also address the following:
   a) Will any of the items collected be recycled or reused? Please describe.
   b) How are collected hard drives to be handled?
   c) List any materials which are sent to the landfill or shipped overseas for processing.

Preference will be given to Proposers who have obtained environmental, health and safety certifications and who uphold the highest social and environmental standards in their business practices. Preference will be given to Proposers that:

1. have ISO 9000 or 14001 Environmental Management Systems
2. have obtained environmental, health and safety certifications. Provide a list of any current certifications or qualifications, for example the e-Stewards Certification (which includes ISO 14001 certification) or the e-Stewards Pledge.

5. References (10%): Please provide a list of references from existing or prior clients.

**TASKS**

The services to be performed under the Agreement will include the following tasks and any modifications agreed to during the contract negotiation process. The Proposer shall include responses to each Task category below to be included in the evaluation of the Proposals.

**Materials Accepted** – At a minimum the contractor shall be required to accept all E-waste (CEWs and UWEDs), including but not limited to: computer monitors, laptop computers, TVs, console TVs, projector TVs, flatscreen TV’s and broken CEW’s. Furthermore, Proposer should detail any restrictions on acceptance, for example, will Proposer accept CEW tubes removed from casings.

**Packing** – The successful Proposer shall work with County’s E-waste packing and loading contractor on packing requirements. The E-waste packing and loading contractor will package E-waste to successful Proposer’s specifications. In the proposal, detail any specific palletizing and sorting requirements.

**Truck Loading** – The successful Proposer shall work with County’s E-waste packing and loading contractor, who will load successful Proposer’s trucks. In proposal, detail any specific truck loading requirements. Should a Proposer be proposing to load their own trucks, the “cost” (or reimbursement reduction) for loading must be indicated separately from other services. If a Proposer will not allow County’s E-waste packing and loading contractor to load trucks, this must be clearly stated in proposal.

**Scheduling** – The successful Proposer shall work with County’s E-waste packing and loading contractor, who will schedule all pickups. Proposer should indicate in its proposal the necessary lead time for pickups. Proposer should indicate a preference for on-call or regularly scheduled pickups and flexibility for on-call pickups.

**Paperwork** – The Agency shall provide all necessary collection log cover letters, collection logs, and CEW transfer receipts. The successful Proposer shall provide a copy of the Bill of Lading and a certificate of recycling for each shipment with monthly payments. Proposer shall provide downstream chain-of-custody reports.

**Recycling Process** – Proposer shall describe the recycling process for CEWs and UWEDs for all component parts.

**Downstream Vendors** – Proposer shall provide a list of vendors that will receive materials from Agency’s CEW’s and UWED’s and describe what form they receive the material and in what form that material is when transferred to the next vendor. Be sure to cover all component parts.
E-Waste RFP/Contract Language

INTENT

The Sonoma County Waste Management Agency (AGENCY) implements the following criteria as due diligence to increase the oversight of electronics recycling and to assure legal, safe and beneficial recycling occurs with Sonoma County’s electronic waste. All material collected through the Contract shall be handled by a Recycler who meets the following criteria. The following criteria must be included in the successful Proposer’s contract.

Contract Requirements

1. Contractor shall be 1) an Approved Recycler with the State of California Department of Resources Recycling and Recovery (CalRecycle), formerly the Integrated Waste Management Board (CIWMB), and maintain this designation throughout the term of the contract or 2) registered with CalRecycle as an authorized E-waste Collector and partner with a CalRecycle Approved Recycler and both the Collector and the Approved Recycler must maintain this designation throughout the term of the contract. Loss of Approved Recycler and Collector designation shall be considered a breach of the contract.

2. The Contractor shall comply with all Federal, State, and/or local regulations.

3. The Contractor shall not allow any characteristically hazardous material accepted to be sent to solid waste (non-hazardous waste) landfills or incinerators for disposal or energy recovery, either directly or through intermediaries.

4. The Contractor is to assure that all materials that test as characteristic hazardous waste under California Law remain within the United States until the waste has been processed to the point at which it can be considered a commodity ready for use in a new product. This requirement applies to all characteristic materials, including those with exemptions, such as circuit boards.

5. To ensure integrality of the entire recycling chain, including downstream intermediaries and recovery operations such as smelters, the Contractor shall not utilize a company that is not in complete compliance with all applicable National, Regional and/or Local environmental and health and safety regulations.

6. For Contractor and each of the proposed subcontractors, include copies of all notices of violations, administrative orders, or other enforcement actions taken by any regulatory agencies during the past three years and within 30 days of any new violation during the term of this Agreement for Contractor and each of the proposed subcontractors. Also, provide copies of any letters of recommendation or other awards of recognition.
7. Contractor shall make all of its facilities and related documentation available to the AGENCY for onsite and paper audits by AGENCY or designated 3rd party auditor. Additionally, Contractor must arrange for all contractors/vendors involved in the downstream recycling process, regardless of location, to make their facilities and documentation available for onsite and paper audits by AGENCY or designated 3rd party auditor.

8. Contractor shall provide a downstream chain-of-custody-and-disposition report of all waste collected within ninety (90) days of the collection event. The downstream report shall include both hazardous and non-hazardous components, including but limited to, Identity of vendor(s) who purchase final recovered materials, and a description of each material’s final reuse or disposition by volume and composition.

9. Contractor shall provide Agency staff with a list of Contractor’s “Down-Stream Vendors”, identified by material processed, and shall provide written notification to Agency of any change to the list. Contractor shall provide thirty (30) days notice to Agency of any change to the list that is initiated by Contractor and seven (7) days notice of any change not initiated by Contractor.

10. Contractor shall not utilize prison labor for recycling of E-waste or its components either directly or through intermediaries.
NAME OF PROPOSER _______________________________________________________

ORGANIZATION ___________________________________________________________

1. The undersigned is a Proposer under this RFP and possesses the legal authority to submit this Proposal.

2. The undersigned is authorized to conduct all negotiations for and legally bind the Proposer in all matters relating to this Proposal submittal.

3. The undersigned has reviewed, understands, is able to comply with and agrees to be bound by the conditions described in the Agreement for Electronic Waste Collection Event Services (Exhibit C) and this RFP.

4. The undersigned certifies that this Proposal is irrevocable until ________________, 2012 (minimum of 120 days from submittal).

5. The undersigned acknowledges that the Agency reserves the following rights and options related to proposals submitted in response to the RFP:

   - Award a single agreement for all services described in this RFP.
   - Award separate agreements to multiple Proposers for specific services described in this RFP.
   - Reject all Proposals and not award an agreement.
   - Reject any Proposal.
   - Select a Proposal other than the highest payment Proposal.
   - If during the course of negotiations with a selected Proposer, the Agency determines in its sole discretion that an acceptable Agreement cannot be negotiated, the Agency reserves the right to suspend negotiations with that Proposer and begin negotiations with another Proposer. Also, the Agency reserves the right to undertake simultaneous negotiations of the final Agreement with more than one Proposer.
   - Waive defects and/or irregularities in any Proposals.
   - Request from any Proposer at any time during the evaluation process, clarification of any information contained in the Proposal.
   - Request “Best and Final” offers.
   - Conduct interview(s) with any Proposer(s).
Exhibit B (continued)

- Negotiate terms and conditions that are different from those described in this RFP and Agreement.
- Contact references provided and seek information from any agency with which the Proposer has done business.
- Take other such action that best suits the needs of the County and/or its citizens.

Form of Agreement

_____ The undersigned has carefully reviewed the forms of Agreement contained in the RFP and is prepared to agree to the terms and conditions stated therein.

_____ The undersigned has carefully reviewed the forms of Agreement contained in the RFP and is prepared to agree to the terms and conditions of the forms with the proposed modifications attached hereto. (Proposer must attach any proposed modifications to the forms of Agreement.)

Print Name: ________________________________
Title: ________________________________
Organization: ________________________________
Telephone: ________________________________
Facsimile: ________________________________
E-Mail Address: ________________________________ (optional)

Signature: ________________________________ Date: ________________
This agreement ("Agreement"), dated as of __________, 2012 ("Effective Date") is by and between the Sonoma County Waste Management Agency, (hereinafter "Agency"), and ____________________________, a [include description of Contractor, e.g., “a California Corporation”, etc., if appropriate] (hereinafter "Contractor").

RECITALS

WHEREAS, Contractor represents that it is duly qualified and experienced in Electronic Waste ("E-Waste") Transportation and Recycling Services and related services; and

WHEREAS, in the judgment of the Board of Directors of Agency, it is necessary and desirable to employ the services of Contractor for transporting and recycling E-Waste collected at the Central Disposal Site.

NOW, THEREFORE, in consideration of the foregoing recitals and the mutual covenants contained herein, the parties hereto agree as follows:

AGREEMENT

1. Scope of Services.

1.1 Contractor’s Specified Services. This Agreement is entered into for the purpose of establishing a contract for E-Waste Transport and Recycling Services. Contractor shall perform services as defined in Exhibit “A”, Proposed Scope of Services.

1.2 Cooperation with Agency. Contractor shall cooperate with Agency and Agency staff in the performance of all work hereunder.

1.3 Performance Standard. Contractor shall perform all work hereunder in a manner consistent with the level of competency and standard of care normally observed by a person practicing in Contractor’s profession. If Agency determines that any of Contractor's work is not in accordance with such level of competency and standard of care, Agency, in its sole discretion, shall have the right to do any or all of the following: (a) require Contractor to meet with Agency to review the quality of the work and resolve matters of concern; (b) require Contractor to repeat the work at no additional charge until it is satisfactory; (c) terminate this Agreement
pursuant to the provisions of Article 4; or (d) pursue any and all other remedies at law or in equity.

1.4 **Assigned Personnel.**

a. Contractor shall assign only competent personnel to perform work hereunder. In the event that at any time Agency, in its sole discretion, desires the removal of any person or persons assigned by Contractor to perform work hereunder, Contractor shall remove such person or persons immediately upon receiving written notice from Agency.

b. Any and all persons identified in this Agreement or any exhibit hereto as the project manager, project team, or other professional performing work hereunder are deemed by Agency to be key personnel whose services are a material inducement to Agency to enter into this Agreement, and without whose services Agency would not have entered into this Agreement. Contractor shall not remove, replace, substitute, or otherwise change any key personnel without the prior written consent of Agency.

c. In the event that any of Contractor’s personnel assigned to perform services under this Agreement become unavailable due to resignation, sickness or other factors outside of Contractor’s control, Contractor shall be responsible for timely provision of adequately qualified replacements.

2. **Payment.** Contractor shall pay Agency in accordance with Exhibit “A” which sets out the payment terms.

3. **Term of Agreement.** The term of this Agreement shall be from __________ to __________, unless terminated earlier in accordance with the provisions of Article 4 below.

4. **Termination.**

4.1 **Termination Without Cause.** Notwithstanding any other provision of this Agreement, at any time and without cause, Agency shall have the right, in its sole discretion, to terminate this Agreement by giving ten (10) days written notice to Contractor.

4.2 **Termination for Cause.** Notwithstanding any other provision of this Agreement, should Contractor fail to perform any of its obligations hereunder, within the time and in the manner herein provided, or otherwise violate any of the terms of this Agreement, Agency may immediately terminate this Agreement by giving Contractor written notice of such termination, stating the reason for termination.
4.3 Delivery of Work Product and Final Payment Upon Termination.

In the event of termination, Contractor, within 14 days following the date of termination, shall deliver to Agency all materials and work product subject to Section 9.9 and shall submit to Agency payment up to the date of termination.

5. Indemnification. Contractor agrees to accept all responsibility for loss or damage to any person or entity, including but not limited to Agency, and to defend, indemnify, hold harmless, reimburse and release Agency, its officers, agents, and employees, from and against any and all actions, claims, damages, disabilities, liabilities and expense including, but not limited to, attorneys' fees and the cost of litigation incurred in the defense of claims as to which this indemnity applies or incurred in an action by Agency to enforce the indemnity provisions herein, whether arising from personal injury, property damage or economic loss of any type, that may be asserted by any person or entity arising out of or in connection with the performance of Contractor hereunder, but, to the extent required by law, excluding liability due to the sole negligence or willful misconduct of Agency. If there is a possible obligation to indemnify, Contractor's duty to defend with legal counsel acceptable to Agency, exists regardless of whether it is ultimately determined that there is not a duty to indemnify. This indemnification obligation is not limited in any way by any limitation on the amount or type of damages or compensation payable to or for Contractor or its agents.

6. Insurance. With respect to performance of work under this Agreement, Contractor shall maintain and shall require all of its subcontractors, consultants, and other agents to maintain, insurance as described below:

6.1 Workers' Compensation Insurance. Workers' compensation insurance with statutory limits as required by the Labor Code of the State of California. Said policy shall be endorsed with the following specific language:

This policy shall not be cancelled or materially changed without first giving thirty (30) days' prior written notice to the Agency.

6.2 General Liability Insurance. Commercial general liability insurance covering bodily injury and property damage using an occurrence policy form, in an amount no less than One Million Dollars ($1,000,000.00) combined single limit for each occurrence. Said commercial general liability insurance policy shall either be endorsed with the following specific language or contain equivalent language in the policy:
a. The Agency, its Board of Directors and staff, is named as additional insured for all liability arising out of the operations by or on behalf of the named insured in the performance of this Agreement.

b. The inclusion of more than one insured shall not operate to impair the rights of one insured against another insured, and the coverage afforded shall apply as though separate policies had been issued to each insured, but the inclusion of more than one insured shall not operate to increase the limits of the company's liability.

c. The insurance provided herein is primary coverage to the Agency with respect to any insurance or self-insurance programs maintained by the Agency.

d. This policy shall not be cancelled or materially changed without first giving thirty (30) days prior written notice to the Agency.

6.3 Automobile Insurance. Automobile liability insurance covering bodily injury and property damage in an amount no less than One Million Dollars ($1,000,000) combined single limit for each occurrence. Said insurance shall include coverage for owned, hired, and non-owned vehicles. Said policy shall be endorsed with the following language:

This policy shall not be cancelled or materially changed without first giving thirty (30) days prior written notice to the Agency.

6.4 Professional Liability Insurance. Professional liability insurance for all activities of Contractor arising out of or in connection with this Agreement in an amount no less than One Million Dollars ($1,000,000) combined single limit for each occurrence. Said policy shall be endorsed with the following specific language:

This policy shall not be cancelled or materially changed without first giving thirty (30) days prior written notice to the Agency.

6.5 Pollution Liability Insurance. One million dollars ($1,000,000) each occurrence/Two million dollars ($2,000,000) policy aggregate, inclusive of legal defense costs.

6.6 Documentation. The following documentation shall be submitted to the Agency:

a. Properly executed Certificates of Insurance clearly evidencing all coverages, limits, and endorsements required above. Said Certificates shall be submitted prior to the execution of this Agreement. Contractor agrees to maintain current Certificates of Insurance evidencing the above-
required coverages, limits, and endorsements on file with the Agency for the duration of this Agreement.

b. Signed copies of the specified endorsements for each policy. Said endorsement copies shall be submitted within thirty (30) days of execution of this Agreement.

c. Upon Agency's written request, certified copies of the insurance policies. Said policy copies shall be submitted within thirty (30) days of Agency's request.

6.7 Policy Obligations. Contractor's indemnity and other obligations shall not be limited by the foregoing insurance requirements.

6.8 Material Breach. If Contractor, for any reason, fails to maintain insurance coverage which is required pursuant to this Agreement, the same shall be deemed a material breach of this Agreement. Agency, in its sole option, may terminate this Agreement and obtain damages from Contractor resulting from said breach. Alternatively, Agency may purchase such required insurance coverage, and without further notice to Contractor, Agency may deduct from sums due to Contractor any premium costs advanced by Agency for such insurance. These remedies shall be in addition to any other remedies available to Agency.

7. Prosecution of Work. The execution of this Agreement shall constitute Contractor's authority to proceed immediately with the performance of this Agreement. Performance of the services hereunder shall be completed within the time required herein, provided, however, that if the performance is delayed by earthquake, flood, high water, or other Act of God or by strike, lockout, or similar labor disturbances, the time for Contractor's performance of this Agreement shall be extended by a number of days equal to the number of days Contractor has been delayed.

8. Extra or Changed Work. Extra or changed work or other changes to the Agreement may be authorized only by written amendment to this Agreement, signed by both parties. Minor changes which do not increase or decrease the amount paid under the Agreement, and which do not significantly change the scope of work or significantly lengthen time schedules may be executed by the Agency’s Executive Director in a form approved by Agency Counsel. All other extra or changed work must be authorized in writing by the Agency Board of Directors.


9.1 Standard of Care. Agency has relied upon the professional ability and training of Contractor as a material inducement to enter into this Agreement. Contractor hereby agrees that all its work will be performed and that its operations shall be conducted in accordance with generally accepted and applicable professional practices and standards as well as the requirements of applicable federal, state and local laws, it
being understood that acceptance of Contractor's work by Agency shall not operate as a waiver or release.

9.1.1 Change in Information. Contractor shall notify Agency thirty (30) days prior to any change to the information provided pursuant to Section 10 of Exhibit A, Proposed Scope of Services, that is initiated by Contractor, or within seven (7) days of Contractor becoming aware of a change to the information provided pursuant to Section 10 of Exhibit A that was not initiated by Contractor.

9.2 Status of Contractor. The parties intend that Contractor, in performing the services specified herein, shall act as an independent contractor and shall control the work and the manner in which it is performed. Contractor is not to be considered an agent or employee of Agency and is not entitled to participate in any pension plan, worker’s compensation plan, insurance, bonus, or similar benefits provided to Agency staff. In the event Agency exercises its right to terminate this Agreement pursuant to Article 4, above, Contractor expressly agrees that it shall have no recourse or right of appeal under rules, regulations, ordinances, or laws applicable to employees.

9.3 Taxes. Contractor agrees to file federal and state tax returns and pay all applicable taxes on amounts paid pursuant to this Agreement and shall be solely liable and responsible to pay such taxes and other obligations, including, but not limited to, state and federal income and FICA taxes. Contractor agrees to indemnify and hold Agency harmless from any liability which it may incur to the United States or to the State of California as a consequence of Contractor's failure to pay, when due, all such taxes and obligations. In case Agency is audited for compliance regarding any withholding or other applicable taxes. Contractor agrees to furnish Agency with proof of payment of taxes on these earnings.

9.4 Records Maintenance. Contractor shall keep and maintain full and complete documentation and accounting records concerning all services performed that are compensable under this Agreement, as well as information provided pursuant to Section 10 of Exhibit A, Proposed Scope of Services, and shall make such documents and records available to Agency for inspection at any reasonable time. Contractor shall maintain such records for a period of four (4) years following completion of work hereunder.

9.5 Conflict of Interest. Contractor covenants that it presently has no interest and that it will not acquire any interest, direct or indirect, that represents a financial conflict of interest under state law or that would otherwise conflict in any manner or degree with the performance of its services hereunder. Contractor further covenants that in the performance of this Agreement no person having any such interests shall be employed by Contractor. In addition, if requested to do so by Agency, Contractor shall complete and file and shall require any other person doing work under Contractor and this Agreement to complete and file a "Statement of Economic Interest" with Agency disclosing Contractor's or such other person's financial interests.
9.6 **Nondiscrimination.** Contractor shall comply with all applicable federal, state, and local laws, rules, and regulations in regard to nondiscrimination in employment because of race, color, ancestry, national origin, religion, sex, marital status, age, medical condition, pregnancy, disability, sexual orientation or other prohibited basis. All nondiscrimination rules or regulations required by law to be included in this Agreement are incorporated herein by this reference.

9.7 **AIDS Discrimination.** Contractor agrees to comply with the provisions of Chapter 19, Article II, of the Sonoma County Code prohibiting discrimination in housing, employment, and services because of AIDS or HIV infection during the term of this Agreement and any extensions of the term.

9.8 **Assignment Of Rights.** Contractor assigns to Agency all rights throughout the world in perpetuity in the nature of copyright, trademark, patent, right to ideas, in and to all versions of the plans and specifications, if any, now or later prepared by Contractor in connection with this Agreement. Contractor agrees to take such actions as are necessary to protect the rights assigned to Agency in this Agreement, and to refrain from taking any action which would impair those rights. Contractor's responsibilities under this provision include, but are not limited to, placing proper notice of copyright on all versions of the plans and specifications as Agency may direct, and refraining from disclosing any versions of the plans and specifications to any third party without first obtaining written permission of Agency. Contractor shall not use or permit another to use the plans and specifications in connection with this or any other project without first obtaining written permission of Agency.

9.9 **Ownership And Disclosure Of Work Product.** All reports, original drawings, graphics, plans, studies, and other data or documents ("documents"), in whatever form or format, assembled or prepared by Contractor or Contractor’s subcontractors, consultants, and other agents in connection with this Agreement shall be the property of Agency. Agency shall be entitled to immediate possession of such documents upon completion of the work pursuant to this Agreement. Upon expiration or termination of this Agreement, Contractor shall promptly deliver to Agency all such documents which have not already been provided to Agency in such form or format as Agency deems appropriate. Such documents shall be and will remain the property of Agency without restriction or limitation. Contractor may retain copies of the above described documents but agrees not to disclose or discuss any information gathered, discovered, or generated in any way through this Agreement without the express written permission of Agency.

10. **Demand for Assurance.** Each party to this Agreement undertakes the obligation that the other's expectation of receiving due performance will not be impaired. When reasonable grounds for insecurity arise with respect to the performance of either party, the other may in writing demand adequate assurance of due performance and until such assurance is received may, if commercially reasonable, suspend any performance for which the agreed return has not been received. "Commercially reasonable" includes not only the conduct of a party with respect to performance under this Agreement, but
also conduct with respect to other agreements with parties to this Agreement or others.
After receipt of a justified demand, failure to provide within a reasonable time, but not
exceeding thirty (30) days, such assurance of due performance as is adequate under the
circumstances of the particular case is a repudiation of this Agreement. Acceptance of
any improper delivery, service, or payment does not prejudice the aggrieved party's right
to demand adequate assurance of future performance. Nothing in this Article 10 limits
Agency’s right to terminate this Agreement pursuant to Article 4.

11. Assignment and Delegation. Neither party hereto shall assign,
delegate, sublet, or transfer any interest in or duty under this Agreement without the prior
written consent of the other, and no such transfer shall be of any force or effect
whatsoever unless and until the other party shall have so consented.

12. Method and Place of Giving Notice, Submitting Bills and Making
Payments. All notices, bills, and payments shall be made in writing and shall be given by
personal delivery or by U.S. Mail or courier service. Notices, bills, and payments shall
be addressed as follows:

Agency: Sonoma County Waste Management Agency
Attention: Lisa Steinman
2300 County Center Drive, Suite 100 B
Santa Rosa, CA 95403
Phone: (707) 565-3632
FAX: (707) 565-3701

Consultant: Name
Attention:
Address: Phone:
City, State Zip Fax:

When a notice, bill or payment is given by a generally recognized overnight courier
service, the notice, bill or payment shall be deemed received on the next business day.
When a copy of a notice, bill or payment is sent by facsimile, the notice bill or payment
shall be deemed received upon transmission as long as (1) the original copy of the notice,
bill or payment is promptly deposited in the U.S. mail, (2) the sender has a written
confirmation of the facsimile transmission, and (3) the facsimile is transmitted before 5
p.m. (recipient’s time). In all other instances, notices, bills and payments shall be
effective upon receipt by the recipient. Changes may be made in the names and
addresses of the person to whom notices are to be given by giving notice pursuant to this
paragraph.


13.1 No Waiver of Breach. The waiver by Agency of any breach
of any term or promise contained in this Agreement shall not be deemed to be a waiver of
such term or provision or any subsequent breach of the same or any other term or promise contained in this Agreement.

13.2 Construction. To the fullest extent allowed by law, the provisions of this Agreement shall be construed and given effect in a manner that avoids any violation of statute, ordinance, regulation, or law. The parties covenant and agree that in the event that any provision of this Agreement is held by a court of competent jurisdiction to be invalid, void, or unenforceable, the remainder of the provisions hereof shall remain in full force and effect and shall in no way be affected, impaired, or invalidated thereby. Contractor and Agency acknowledge that they have each contributed to the making of this Agreement and that, in the event of a dispute over the interpretation of this Agreement, the language of the Agreement will not be construed against one party in favor of the other. Contractor and Agency acknowledge that they have each had an adequate opportunity to consult with counsel in the negotiation and preparation of this Agreement.

13.3 Consent. Wherever in this Agreement the consent or approval of one party is required to an act of the other party, such consent or approval shall not be unreasonably withheld or delayed.

13.4 No Third Party Beneficiaries. Nothing contained in this Agreement shall be construed to create and the parties do not intend to create any rights in third parties.

13.5 Applicable Law and Forum. This Agreement shall be construed and interpreted according to the substantive law of California, regardless of the law of conflicts to the contrary in any jurisdiction. Any action to enforce the terms of this Agreement or for the breach thereof shall be brought and tried in the forum nearest to the city of Santa Rosa, in the County of Sonoma.

13.6 Captions. The captions in this Agreement are solely for convenience of reference. They are not a part of this Agreement and shall have no effect on its construction or interpretation.

13.7 Merger. This writing is intended both as the final expression of the Agreement between the parties hereto with respect to the included terms and as a complete and exclusive statement of the terms of the Agreement, pursuant to Code of Civil Procedure Section 1856. No modification of this Agreement shall be effective unless and until such modification is evidenced by a writing signed by both parties.

13.8 Time of Essence. Time is and shall be of the essence of this Agreement and every provision hereof.
IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the Effective Date.

AGENCY: SONOMA COUNTY WASTE MANAGEMENT AGENCY

By: ______________________________
    Chair

CONTRACTOR:

By: ______________________________
    Name: ______________________________
    Title: ______________________________

APPROVED AS TO SUBSTANCE BY AND CERTIFICATES OF INSURANCE ON FILE WITH:

By: ______________________________
    Executive Director, SCWMA

APPROVED AS TO FORM FOR AGENCY:

By: ______________________________
    Agency Counsel
### Exhibit D:
Volumes of Material Historically Collected

#### Sonoma County E-Waste Shipped from the Central Disposal Site

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